

EPW 3731

PATENT

Case Docket No. LOMAU.138A

Date: August 24, 2004

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In re application of : Yong Hua Zhu, et al.
App. No. : 09/921,158
Filed : August 1, 2001
For : METHOD AND APPARATUS FOR
CLOSING VASCULAR PUNCTURE
USING HEMOSTATIC MATERIAL
Examiner : P.A. Roberts
Art Unit : 3731

CERTIFICATE OF MAILING

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

August 24, 2004

(Date)

Glen L. Nuttall, Reg. No. 46,188

COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an amendment in the above-identified application.

- (X) An Information Disclosure Statement.
(X) Form PTO-1449 listing ~~32~~ (10) references.

The fee has been calculated as shown below:

CLAIMS AS FILED						
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
Total Claims	48	—	51	= x	\$9	= \$0
Independent Claims	4	—	6	= x	\$43	= \$0
If application has been amended to contain multiple dependent claim(s), then add					\$145	= \$0
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$0

- (X) A Check in the amount of \$180 for Information Disclosure Statement.
(X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.

PATENT

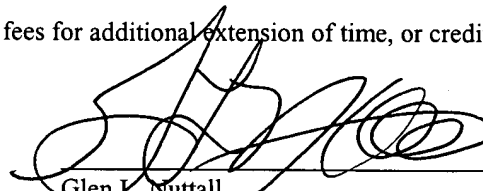
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(X) Return prepaid postcard.

(X) Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.



Glen L. Nuttall

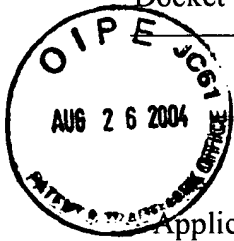
Registration No. 46,188

Attorney of Record

Customer No. 20,995

(949) 760-0404

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INFORMATION DISCLOSURE STATEMENT

Applicant : Yong Hua Zhu et al.
App. No. : 09/921,158
Filed : August 1, 2001
For : METHOD AND APPARATUS FOR
CLOSING VASCULAR PUNCTURE
USING HEMOSTATIC MATERIAL
Examiner : P.A. Roberts
Group Art Unit : 3731

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 10 references that are also enclosed.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(c)(2) before the mailing date of a final action and before the mailing of a Notice of Allowance. This Statement is accompanied by the fees set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 8/29/04

By: [Signature]

Glen L. Nuttall
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Attorney of Record
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(949) 760-0404

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE AUG 26 2004 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. LOMAU.138A	APPLICATION NO. 09/921,158
	APPLICANT Yong Hua Zhu et al.	
	FILING DATE August 1, 2001	GROUP 3731

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	5,423,814	06/13/95	Zhu et al.			
	2	5,443,484	08/22/95	Kirsch et al.			
	3	6,004,341	12/21/99	Zhu et al.			
	4	6,425,901 B1	07/30/02	Zhu et al.			
	5	6,508,828 B1	01/21/03	Akerfeldt et al.			
	6	6,524,326 B1	02/25/03	Zhu et al.			
	7	US 2001/0018598 A1	08/30/01	Cruise et al.			
	8	US 2003/0167050 A1	09/04/03	Prosl et al.			
	9						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	10	EP 0 788 769 A1	08/13/97	Europe				
	11	WO 01 34238 A1	05/17/01	PCT				
	12							
	13							
	14							
	15							

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	16	
	17	
	18	
	19	
	20	

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EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	